

California Fish and Game Commission
NOTICE OF FINDINGS
Upper Klamath-Trinity River Spring Chinook Salmon
(Oncorhynchus tshawytscha)

NOTICE IS HEREBY GIVEN that the California Fish and Game Commission (Commission), at a meeting on June 16, 2021, found pursuant to California Fish and Game Code Section 2075.5, that the information contained in the petition to list upper Klamath-Trinity river spring Chinook salmon (*Oncorhynchus tshawytscha*) (hereinafter "UKTSCS") and other information in the record before the Commission, warrants adding UKTSCS to the list of endangered species under the California Endangered Species Act (CESA) (Fish & G. Code, § 2050 et seq.). (See also Cal. Code Regs., tit. 14, § 670.1, subd. (i).)

NOTICE IS ALSO GIVEN that, at its December 15-16, 2021 meeting, the Commission adopted the following findings outlining the reasons for its determination.

I. Background and Procedural History

Petition History

On July 23, 2018, the Karuk Tribe and Salmon River Restoration Council submitted a petition to the Commission to list UKTSCS as an endangered under CESA. The Commission reviewed the petition for completeness, and pursuant to Section 2073 of the California Fish and Game Code, referred the petition to the California Department of Fish and Game (Department) on August 2, 2018 for evaluation. The Commission gave public notice of receipt of the petition on August 17, 2018 (Cal. Reg. Notice Register 2018, No. 33-Z, p. 1313). The Department requested a 30-day extension of the 90-day review period on October 5, 2018 which was granted by the Commission at its October 17, 2018 meeting. The Department transmitted to the Commission the Department's petition evaluation on November 27, 2018, and on December 13, 2018, the Commission formally received the Department's petition evaluation.

At its February 2019 meeting, FGC determined that listing may be warranted, and subsequently provided notice regarding UKTSCS's protected, candidate species status (Cal. Reg. Notice Register 2019, No. 8-Z, p. 284).

Status Review Overview

The Commission's action designating UKTSCS as a candidate species triggered the Department's process for conducting a status review to inform the Commission's decision on whether to list the species. At a public meeting in June 2019, the Commission approved a request for a 6-month extension to complete the status review.

On March 12, 2021, the Department transmitted to the Commission the Department's report to the Commission titled *California Endangered Species Act Status Review for Upper Klamath and Trinity Rivers Spring Chinook Salmon (Oncorhynchus tshawytscha)* (status review) dated March 11, 2021. On April 14, 2021, the Commission formally received the Department's status review during a public meeting. On June 16, 2021, the Commission found that the information contained in the petition to list UKTSCS and other information in the record before the Commission warranted listing UKTSCS as a threatened species under CESA.

Species Description

Chinook Salmon

Chinook salmon are semelparous (i.e., reproducing or breeding only once in a lifetime), anadromous (i.e., ascending rivers from the sea for breeding), salmonid fishes native to fresh and ocean waters of the North Pacific Rim (CDFW 2021). The life cycle, physiology, diet, and habitat needs are detailed in the petition and status review.

Although among the least abundant of all the Pacific salmonids, Chinook salmon show the greatest life-history diversity and geographic range (Riddell et al. 2018). They are the largest of the Pacific salmon genus *Oncorhynchus*, with adults in northern waters growing as large as 45 kg (99 lbs). The name Chinook refers to the collective Chinookan Native American Tribes of the Pacific Northwest. The species is also known by the common names king salmon, tye, and quinnat salmon. Additional information on species characteristics can be found in Moyle (1976), Scott and Crossman (1973), Wydoski and Whitney (1979), Morrow (1980), Eschmeyer et al. (1983), and Page and Burr (1991).

Broadly speaking, there are two recognized groups of Chinook salmon whose adult migration occurs in the spring in California: Central Valley spring-run Chinook salmon and UKTSCS (CDFW 2021). These two groups are widely separated spatially — one in the Central Valley and the other on the north coast (CDFW 2021). Those two groups of Chinook salmon are also genetically distant from one another (CDFW 2021).

Upper Klamath and Trinity River Chinook Salmon

In the upper Klamath and Trinity rivers, two Chinook salmon ecotypes are present: spring and fall. While fish that return in the fall currently comprise the majority of the Chinook salmon in these rivers, the opposite was likely historically the case (Karuk Tribe and Salmon River Restoration Council 2018).

UKTSCS was likely more common and more widely distributed within the basin historically due to conditions that favored expression of the early returning phenotype. Current distribution of the spring ecotype is fragmented and abundance is low compared to these historical populations. UKTSCS is currently found in relatively small to moderately large numbers in the basin, with notable spawning aggregations in three disjunct locations:

- Salmon River on the Klamath,
- Upper Trinity River, and
- South Fork Trinity River.

UKTSCS in the Salmon River and the South Fork Trinity River are less abundant than in the Upper Trinity River. In contrast, Upper Klamath Trinity River (UKTR) fall Chinook salmon (and therefore the federally-designated UKTR Chinook salmon evolutionarily significant unit as a whole) are relatively widely distributed in the basin and can occur in relatively large numbers.

II. Statutory and Legal Framework

The Commission, as established by the California State Constitution, has exclusive statutory authority under California law to designate endangered, threatened, and candidate species under CESA. (Cal. Const., art. IV, § 20, subd. (b); Fish & G. Code, § 2070.) The CESA listing process for UKTSCS began in the present case with the petitioners' submittal of the petition to the Commission on July 23, 2018. The regulatory and legal process that ensued is described in some detail in the preceding section, along with related references to the Fish and Game Code and controlling regulation. The CESA listing process generally is also described in some detail in published appellate case law in California, including:

- *Mountain Lion Foundation v. California Fish and Game Commission* (1997) 16 Cal.4th 105, 114-116;
- *California Forestry Association v. California Fish and Game Commission* (2007) 156 Cal.App.4th 1535, 1541-1542;
- *Center for Biological Diversity v. California Fish and Game Commission* (2008) 166 Cal.App.4th 597, 600;
- *Natural Resources Defense Council v. California Fish and Game Commission* (1994) 28 Cal.App.4th 1104, 1111-1116;
- *Central Coast Forest Association v. California Fish and Game Commission* (2017), 2 Cal. 5th 594, 597-598; and
- *Central Coast Forest Association v. California Fish and Game Commission* (2018) 18 Cal. App. 5th 1191, 1196-1197.

The “is warranted” determination at issue here stems from Commission obligations established by Fish and Game Code Section 2075.5. Under the provision, the Commission is required to make one of two findings for a candidate species at the end of the CESA listing process; namely, whether listing a species is warranted or is not warranted. Here, with respect to UKTSCS, the Commission made the finding under Section 2075.5(e)(2) that listing UKTSCS as threatened is warranted.

The Commission was guided in making its determinations by statutory provisions and other controlling law. The Fish and Game Code, for example, defines an endangered species under CESA as “a native species or subspecies of a bird, mammal, fish, amphibian, reptile or plant which is in serious danger of becoming extinct throughout all, or a significant portion, of its range due to one or more causes, including loss of habitat, change in habitat, over exploitation, predation, competition, or disease.” (Fish & G. Code, § 2062.) Similarly, the Fish and Game Code defines a threatened species under CESA as “a native species or subspecies of a bird, mammal, fish, amphibian, reptile or plant that, although not presently threatened with extinction, is likely to become an endangered species in the foreseeable future in the absence of the special protection and management efforts required by this chapter.” (*Id.*, § 2067.)

The Commission also considered Title 14, Section 670.1, subdivision (i)(1)(A), of the California Code of Regulations in making its determination regarding UKTSCS. This provision provides, in pertinent part, that UKTSCS shall be listed as endangered or threatened under CESA if the

Commission determines that its continued existence is in serious danger or is threatened by any one or any combination of the following factors:

1. Present or threatened modification or destruction of its habitat;
2. Overexploitation;
3. Predation;
4. Competition;
5. Disease; or
6. Other natural occurrences or human-related activities.

Fish and Game Code Section 2070 provides similar guidance, providing that the Commission shall add or remove species from the list of endangered and threatened species under CESA only upon receipt of sufficient scientific information that the action is warranted. Similarly, CESA provides policy direction not specific to the Commission per se, indicating that all state agencies, boards, and commissions shall seek to conserve endangered and threatened species and shall utilize their authority in furtherance of the purposes of CESA. (Fish & G. Code, § 2055.) This policy direction does not compel a particular determination by the Commission in the CESA listing context. Nevertheless, “[l]aws providing for the conservation of natural resources’ such as the CESA are of great remedial and public importance and thus should be construed liberally.” (*California Forestry Association v. California Fish and Game Commission*, supra, 156 Cal. App.4th at pp. 1545-1546, citing *San Bernardino Valley Audubon Society v. City of Moreno Valley* (1996) 44 Cal.App.4th 593, 601; Fish & G. Code, §§ 2051, 2052.)

Finally, in considering the six identified factors, CESA and controlling regulations require the Commission to actively seek and consider related input from the public and any interested party. (See, e.g., *Id.*, §§ 2071, 2074.4, 2078; Cal. Code Regs., tit. 14, § 670.1, subd. (h).) The related notice obligations and public hearing opportunities before the Commission are also considerable. (Fish & G. Code, §§ 2073.3, 2074, 2074.2, 2075, 2075.5, 2078; Cal. Code Regs., tit. 14, § 670.1, subds. (c), (e), (g), (i); see also Gov. Code, § 11120 et seq.) The referenced obligations are in addition to the requirements prescribed for the Department in the CESA listing process, including an initial evaluation of the petition, a related recommendation regarding candidacy, and a review of the candidate species’ status, culminating with a report and recommendation to the Commission as to whether listing is warranted based on the best available science. (Fish & G. Code, §§ 2073.4, 2073.5, 2074.4, 2074.6; Cal. Code Regs., tit. 14, § 670.1, subds. (d), (f), (h).)

III. Factual and Scientific Bases for the Commission’s Final Determination

The factual and scientific bases for the Commission’s determination that designating UKTSCS as a threatened species under CESA is warranted are set forth in detail in the Commission’s record of proceedings, including the petition (Karuk Tribe and Salmon River Restoration Council 2018); the Department’s petition evaluation report; the Department’s status review (CDFW 2021); written and oral comments received from members of the public, the regulated community, tribal entities, and the scientific community; and other evidence included in the Commission’s record of proceedings.

The Commission determines that the continued existence of UKTSCS in the State of California is in serious danger or threatened by one or a combination of the following factors as required by the California Code of Regulations, Title 14, Section 670.1, subdivision (i)(1)(A):

1. Present or threatened modification or destruction of its habitat;
2. Overexploitation;
3. Predation;
4. Competition;
5. Disease; or
6. Other natural occurrences or human-related activities.

The Commission also determines that the information in the Commission's record constitutes the best scientific information available and establishes that designating UKTSCS as a threatened species under CESA is warranted. Similarly, the Commission determines that UKTSCS is likely to become an endangered species in the foreseeable future in the absence of the special protection and management efforts required by CESA due to one or more causes, including loss of habitat, change in habitat, overexploitation, predation, competition, or disease.

The items highlighted here and detailed in the following section represent only a portion of the complex issues aired and considered by the Commission during the CESA listing process for UKTSCS. Similarly, the issues addressed in these findings represent some, but not all of the evidence, issues, and considerations affecting the Commission's final determination. Other issues aired before and considered by the Commission are addressed in detail in the record before the Commission, which record is incorporated herein by reference.

Background

The Commission has previously listed units at a lower level than a taxonomic subspecies. In 2004, the Commission listed two evolutionarily significant units (ESUs) of coho salmon, a decision that was upheld in *Ca. Forestry Assn. v. Ca. Fish Game*. In 2016, the Commission also listed an ESU of fisher. In 2020, the Commission listed five clades of the foothill yellow-legged frog; a clade, also referred to as a monophyletic group, is a branch on a phylogenetic tree that contains a group of lineages comprised of an ancestor and all its descendants.

The Commission bases its "is warranted" finding for UKTSCS most fundamentally on its determination that UKTSCS qualifies as a "subspecies" as specified in CESA sections 2062 and 2067. The qualification is based on the discreteness (when compared to other ecotypes) and significance of UKTSCS within the state of California (Fraser 2001; Waples 1991, 1995; Moran et al. 1994; de Guia and Saitoh 2007), coupled with the threats faced due to relatively small abundances, habitat alteration, disease, and climate change (Karuk Tribe and Salmon River Restoration Council 2018; CDFW 2021; Moyle et al. 2008). Construing "subspecies" under this framework supports the preservation of important elements of genetic diversity, which has been shown to support long-term species conservation (Frankham 2005; Frankham 1996; Waples and Lindley 2018) and is important to fulfill the purpose of CESA of biodiversity preservation.

Qualification for Listing

The petition specifically refers to UKTSCS as an ESU and argues as to why UKTSCS should be considered as such. In making a recommendation to the Commission, the Department deemed that UKTSCS was best understood as an ecotype of a larger combined UKTR Chinook salmon ESU composed of late-returning (fall) and early-returning (spring) spawners, and from that the Department concluded that UKTSCS does not itself constitute an independent ESU. Following from this conclusion, the Department recommended against listing UKTSCS (CDFW 2021). However, whether UKTSCS qualifies as an ESU under federal policy does not preclude listing by the Commission under CESA. The Commission is not bound by federal ESU policy and must make its own factually-specific determination, supported by CESA and relevant case law.

The genetics of UKTSCS distinguishes it from individuals in the fall ecotype, and that distinction is meaningful given that it expresses as the seasonal run in a very precise (albeit not perfectly exact) relationship (Prince et al. 2017; Ford et al. 2020; Thompson et al. 2020). While spring-returning, intermediate-returning, and fall-returning fish do interbreed and can form heterozygous offspring (Anderson et al. 2019), homozygous spring fish most clearly exhibit the early (spring) run-timing; heterozygous fish are likely to arrive during the intermediate time between the spring and fall (CDFW June 16 presentation; Karuk June 16 presentation; Ford et al. 2020).

While capable of breeding, heterozygous fish survivorship may be expected to be extremely low given the river conditions in the summer and early fall (Karuk June 16 presentation), including low instream flows and higher temperatures (CDFW 2021). If heterozygotes do experience reduced survival, homozygotes could be predominantly selected for, serving as a maintenance function for homozygotes (Ford et al. 2020). This environmentally-enforced bias towards differentiation would further serve to underscore the distinctiveness between ecotypes. However, heterozygotes may play an important role in the maintenance of early-migrating alleles if conditions favor late run timing (Ford et al. 2020).

The best available genetic and evolutionary information points most likely to a monophyletic run-timing group (i.e., a single clade), that manifested from a single evolutionary event (Prince et al. 2017; Ford et al. 2020). Given its evolutionary history, run-timing in the spring is unlikely to evolve again in Chinook salmon over ecological time scales should it disappear (Karuk Tribe and Salmon River Restoration Council 2018; Karuk June 16 presentation; Thompson et al. 2019, 2020; Ford et al. 2020; Prince et al. 2017).

The spring run-time of UKTSCS provides a unique, adaptive contribution to the ecosystem. The run-time differentiation allows access to disparate habitat conditions during the return migration (Allen 2000; CDFW 2021, appx. E), conferring a significant adaptive consequence. The variation brings important diversity to the species that increases its chances of surviving when faced with natural and human-caused environmental change and environmental stochasticity. Finally, UKTSCS is historically and culturally significant for the Yurok and Karuk people and the local communities (Campbell and Butler 2010; Hamilton et al. 2016; public comment June 16; Karuk Tribe and Salmon River Restoration Council 2018). This is reflected in traditional knowledge about the ecological and morphological distinctiveness of UKTSCS and the linguistic discreteness of the fall and spring ecotypes (Karuk June 16 presentation, public comment June 16).

Although the relationship between the genetic makeup of a particular fish is very closely related to its run timing, some variation is recognized in when it may choose to return from the ocean, even among homozygous individuals (Ford et al. 2020). That is, an individual with homozygous spring alleles may return late into the fall, and vice versa. Ultimately however, it is UKTSCS's genetic composition which fundamentally drives its strong inclination to migrate at a particular time of year (Ford et al. 2020; Narum et al. 2018; Anderson et al. 2019; Anderson and Garza 2019). Therefore, the fundamental determinant of whether a fish is a UKTSCS is its genetic makeup; only UKTR Chinook salmon that possess homozygous alleles associated with the spring return are classified as UKTSCS, for the purposes of this CESA listing.

Based on the foregoing factors, the Commission finds UKTSCS qualifies as a subspecies under CESA.

Threats

UKTSCS is threatened due to:

- present or threatened modification of its habitat;
- disease; and
- other natural events or human-related activities

Present or Threatened Modification or Destruction of Habitat

Dam construction and other habitat modifications (e.g., historical mining, land and water use) in the Klamath basin have resulted in truncated and fragmented distribution of UKTSCS in comparison to historical times.

Historically, UKTSCS over-summered and spawned in the Williamson, Sprague, and Wood River systems of southern Oregon (Hamilton et al. 2005). The construction of a complex of hydropower dams between 1917 and 1962 created a barrier to fish passage near the California/Oregon border, effectively denying salmonids access to approximately half the Klamath Basin (*Klamath Facilities Removal Final Environmental Impact Statement/Environmental Impact Report* 2012). Young's dam on the Scott River and Dwinnell Dam on the Shasta River also serve to deny access to historic UKTSCS habitat (Moyle et al., 2017).

Spring-returning Chinook salmon were historically more significant in northern California waters. At least some of the intermingling of UKTSCS and fall Chinook salmon is likely due to the anthropogenic habitat alteration within the watershed (Ford et al. 2020; Kinziger et al. 2008; Karuk June 16 presentation; Matt Sloat verbal comment June 16; CDFW 2021, appx. E), as UKTSCS are not able to reach historical spawning areas due to artificial barriers (whether specifically constructed or not) and altered water regimes (Hamilton et al. 2016; Strange 2012). This external condition has likely increased reproductive interplay between upper Klamath Chinook salmon ecotypes (Ford et al. 2020; CDFW 2021, appx. E). The interbreeding increases the likelihood of heterozygotes and opposes an otherwise natural tendency for genetic separation between fall and spring representatives, potentially threatening the persistence of the spring ecotype (Prince et al. 2017; Ford et al. 2020; Anderson and Garza 2019; CDFW 2021, appx. E).

Between 1870 and the 1950's large scale placer mining, including hydraulic and dredge mining, severely altered critical spawning and rearing habitat for UKTSCS in the middle Klamath and its tributaries. One of the most important factors leading to the decline and continued low abundance of UKTSCS (as well as other salmonids) is the legacy effect of historical placer mining on channel and floodplain habitat conditions throughout the mainstem and larger tributaries of the Klamath River (Karuk Tribe and Salmon River Restoration Council 2018; Kondolf 1997). Placer mining denuded floodplains and adjacent river terraces and hillslopes, reduced riparian shade cover, exposed the stream channel and surrounding areas to increased solar radiation, eroded streamside areas, and increased sedimentation (Stillwater Sciences 2018; Moyle et al. 2008). Other effects from mining (whether historical or contemporary) can include channel aggradation, widening and shallowing alluvial reaches, coarsening streambeds, reducing habitat complexity, filling of pools, decreasing connection with groundwater, and reducing floodplain connectivity (Stillwater Sciences 2018; NMFS 2014; CDFW 2021). Reclamation efforts of the impacts from mining emplacements have a mixed record at best, and restoration of hydrology following mining impacts can often be infeasible (Kondolf 1993).

In addition, numerous irrigation projects throughout the Klamath Basin impact fish passage, impair water quality, and impair river and stream flows, all of which contribute to the decline of UKTSCS (Karuk Tribe and Salmon River Restoration Council 2018).

Four Klamath River dams are planned for removal starting in 2022 if permits are received on schedule. Removal of these dams will allow anadromous fish access to previously blocked spawning and rearing areas upstream into Oregon (CDFW 2021). However, UKTSCS, whose only consistent current representation in the Klamath River is in the Salmon River, will not likely rapidly repopulate the Upper Klamath naturally due to low numbers and how far down in the drainage UKTSCS occurs (CDFW 2021). UKTSCS are unlikely to derive short-term benefits from dam removal (Karuk Tribe and Salmon River Restoration Council 2018; CDFW 2021). However, recovery potential for UKTSCS and other anadromous fish is much more likely without the dams.

The Commission finds habitat modification and destruction to be a significant threat to the continued existence of UKTSCS.

Disease

Multiple diseases affect UKTSCS (Karuk Tribe and Salmon River Restoration Council 2018; CDFW 2021). Salmon are exposed to a variety of bacterial, viral, and parasitic organisms throughout their life cycle, contracting diseases through both waterborne pathogens and through mingling with infected hatchery-raised fish (Karuk Tribe and Salmon River Restoration Council 2018; CDFW 2021). It is possible for a fish to be infected with one or more pathogens but not show outward signs of disease. Hatchery-raised Chinook salmon appear to be more susceptible to disease than naturally spawning Chinook (Karuk Tribe and Salmon River Restoration Council 2018; CDFW 2021). Chinook salmon in the Klamath River Basin emigrate as juveniles, and the stress associated with their return when water temperatures and flows approach their limits of tolerance makes them particularly susceptible to disease (Moyle et al. 2008, NMFS 2009).

Principal diseases include ceratomyxosis, columnaris disease, and *Ichthyophthirius multifiliis* ("ich") (CDFW 2021). Juvenile and adult fish kills associated with disease are common in the

Klamath River (CDFW 2021). Environmental factors that may exacerbate disease include elevated water temperature, low dissolved oxygen, low water flow, elevated pH, and elevated nutrient levels. Toxic cyanobacteria blooms have also been detected in the Klamath River watershed (Fetcho 2006).

The Commission finds disease to be a significant threat to the continued existence of UKTSCS.

Other Natural Events or Human-Related Activities

Small Populations

Small, isolated populations are inherently vulnerable. There are only two occurrences of Chinook returning in the spring within the state of California and the other spring returning Chinook salmon (Central Valley) in decline; it is listed as threatened under the federal Endangered Species Act (50 Code of Fed. Regs. 17.11). Small population size in the Salmon and South Fork Trinity groups, and overall fragmentation of spawning aggregations of UKTSCS, is of concern from the standpoint of diversity loss (CDFW 2021). The more robust wild population today is in the Salmon River (Karuk Tribe and Salmon River Restoration Council 2018; CDFW 2021). Other populations are either small and intermittent or heavily influenced by hatchery-raised fish, so may not be self-sustaining and are likely to be extirpated in the near future (Moyle et al. 2008). Therefore, small population size is a threat to the persistence of UKTSCS.

Climate Change

The Earth's climate is warming, and the primary causes are greenhouse gas emissions and deforestation (IPCC 2007; USGCRP 2009; USGCRP 2017). Since 1900, global average temperature has increased 0.7° C (NRC 2006) due to carbon dioxide emissions. Ice core data indicates that atmospheric carbon dioxide is currently 30% greater than its peak in the last 800,000 years. Over the last 150 years, carbon dioxide levels have increased 37.5% (CDFW 2021).

Greenhouse gas increases have resulted in changes in seasonal precipitation, decreased snowpack, earlier snowmelt, and increased storm severity (USGCRP 2009; USGCRP 2017), 0.1° C increase in seas surface temperature since 1961 and increased ocean acidification (USGCRP 2009), 203 mm increase in sea level after approximately 2000 years of stability (USGCRP 2009), and approximately a 20% decrease in the amount of arctic sea ice since the 1950s (Curran et al. 2003).

If current conditions remain unchanged, studies project that global climate will change drastically. Projections include an increase of 1.1 – 6.4° C in average global surface temperature (USGCRP 2009), sea level rise of 1 – 3 m (IPCC 2007; USGCRP 2009; USGCRP 2017), and greater extremes in storm events and wildfire (Krawchuck et al. 2009). In particular, conditions in the Klamath basin are likely to change drastically in the foreseeable future (Barr et al. 2010; Thorsteinson et al. 2011; CDFW 2021).

A warming climate is likely to result in poorer future environmental conditions for California's salmonids in general (Isaak et al. 2018; Katz et al. 2012; Crozier et al. 2019), and for UKTSCS specifically (CDFW 2021). Based on long- and short-term evaluations, and climate warming

predictions, it seems likely that UKTSCS in the Salmon and South Fork Trinity rivers could be extirpated as an ecotype in those places, and that extirpation could progress rapidly (CDFW 2021; NMFS 2019). Additionally, changing climate could adversely affect marine habitats during the life stages in which UKTSCS inhabits the ocean (CDFW 2021; NMFS 2019). Therefore, climate change is a threat to the persistence of UKTSCS.

The Commission finds the natural or human-related activities discussed above to be a significant threat to the continued existence of UKTSCS.

Conclusion

Therefore, the continued existence of UKTSCS is in serious danger or threatened by significant threats, including present or threatened modification or destruction of habitat, disease, and other natural events or human-related activities.

IV. Final Determination by the Commission

The Commission has weighed and evaluated the information for and against designating UKTSCS as a threatened species under CESA; this information includes scientific and other general evidence in the petition; the Department's petition evaluation report; the Department's status review; the Department's related recommendations; written and oral comments received from members of the public, the regulated community, various public agencies, and the scientific community; and other evidence included in the Commission's record of proceedings.

Based upon the evidence in the record the Commission has determined that the best scientific information available indicates that the continued existence of UKTSCS is in serious danger or threatened by present or threatened modifications or destruction of the species' habitat, disease, or other natural occurrences or human-related activities, where such factors are considered individually or in combination. (See generally Cal. Code Regs., tit. 14, § 670.1, subd. (i)(1)(A); Fish & G. Code, §§ 2062, 2067.) The Commission determines that there is sufficient scientific information to indicate that designating UKTSCS as a threatened species under CESA is warranted at this time, and that with adoption and publication of these findings UKTSCS, for purposes of its legal status under CESA, shall be listed as threatened.

CITATIONS

- Allen, M. A. 2000. Seasonal microhabitat use by juvenile spring Chinook Salmon in the Yakima River basin, Washington. *Rivers* 7(4):314-322.
- Anderson, E. C., M. J. Ford, J. C. Garza, and J. D. Kiernan. 2019. Upper Klamath and Trinity River Chinook Salmon ESU-Configuration Review-Panel Report. Report from Southwest Fisheries Science Center to West Coast Region, Protected Resources Division. Update of 15 June 2018 report. 24 April 2019. NMFS Southwest Fisheries Science Center, 110 McAllister Way, Santa Cruz, CA 95060.
- Anderson, E. C., and J. C. Garza. 2019. Supplemental and recent findings pertinent to ESU configuration of the Upper Klamath Trinity River Chinook salmon ESU, A report to the National Marine Fisheries Service West Coast Region – Protected Resources Division from Southwest Fisheries Science Center, Santa Cruz, CA.
- Barr, B. R., M. E. Koopman, C. D. Williams, S. J. Vynne, R. Hamilton, and B. Doppelt. 2010. Preparing for climate change in the Klamath Basin. National Center for Conservation Science & Policy and The Climate Leadership Initiative.
- California Department of Fish and Wildlife (CDFW). 2021. REPORT TO THE FISH AND GAME COMMISSION, California Endangered Species Act Status Review for Upper Klamath and Trinity Rivers Spring Chinook Salmon (*Oncorhynchus tshawytscha*)
- Campbell SK, and V. L, Butler. 2010. Archaeological evidence for resilience of Pacific Northwest salmon populations and the socioecological system over the last 7,500 years. *Ecology and Society* 15:17.
- Crozier, L. G., M. M. McClure, T. Beechie, S. J. Bograd, D. A. Boughton, M. Carr, et al. 2019. Climate vulnerability assessment for Pacific salmon and steelhead in the California Current Large Marine Ecosystem. *PLoS ONE* 14(7): e0217711.
<https://doi.org/10.1371/journal.pone.0217711>
- Curran, M. A. J., T. D. van Ommen, V. I. Morgan, K. L. Phillips, and A. S. Palmer. 2003. Ice core evidence for Antarctic Sea ice decline since the 1950s. *Science* 302(5648):1203–1206.
- de Guia APO, Saitoh T. 2007. The gap between the concept and definitions in the evolutionarily significant unit: the need to integrate neutral genetic variation and adaptive variation. *Ecological Research* 22:604–612.
- Eschmeyer, W. N., W. W. Herald, and H. Hammann. 1983. A field guide to Pacific coast fishes of North America. Houghton Mifflin Co., Boston, MA.
- Fetcho, K. 2006. Water Year 2005, Klamath River Blue-Green Algae Bloom Report. Yurok Tribe Environmental Program. Klamath, CA.
- Ford, M., K. Nichols, R. Waples, E. C. Anderson, M. Kardos, I. Koch, G. McKinney, M. R. Miller, J. Myers, K. Naish, S. Narum, K. G. O'Malley, D. Pearse, T. Seamons, A. Spidle, P. Swanson, Report NMFS-NWFSC-PR series to disseminate information only. Manuscripts have not been peer-reviewed and T. Q. Thompson, K. Warheit, and S. Willis. 2020. Reviewing and

Synthesizing the State of the Science Regarding Associations between Adult Run Timing and Specific Genotypes in Chinook Salmon and Steelhead: Report of a workshop held in Seattle, Washington, 27–28 February 2020. U.S. Department of Commerce, NOAA Processed Report NMFS-NWFSC-PR- 2020-06. [The Northwest Fisheries Science Center of NOAA's National Marine Fisheries Service uses the NOAA Processed may be unedited. Documents within this series represent sound professional work, but do not constitute formal publications. They should only be footnoted as a source of information and may not be cited as formal scientific literature. The data and any conclusions herein are provisional, and may be formally published elsewhere after appropriate review, augmentation, and editing. NWFSC Processed Reports are available from the NOAA Institutional Repository, <https://repository.library.noaa.gov>.]

Frankham R. 2005. Genetics and extinction. *Biological Conservation* 126:131–140.

Frankham R. 1996. Relationship of genetic variation to population size in wildlife. *Conservation Biology* 10:1500–1508.

Fraser, D. J., and L. Bernatchez. 2001. Adaptive evolutionary conservation: towards a unified concept for defining conservation units. *Molecular Ecology* 10:2741-2752.

Hamilton, J. B., G. L. Curtis, S. M. Snedaker, and D. K. White. 2005. Distribution of anadromous fishes in the upper Klamath River watershed prior to hydropower dams – a synthesis of historical evidence. *Fisheries* 30(4):10-20.

Hamilton, J. B., D. W. Rondorf, W. T. Tinniswood, R. J. Leary, T. Mayer, C. Gavette, and L. A. Casal. 2016. The persistence and characteristics of Chinook Salmon migrations to the upper Klamath River prior to exclusion by dams. *Oregon Historical Quarterly* 117: 326–377.

Hawthorne, N. 2017. Estimating hydraulic mining disturbance to the Salmon River using LiDAR. Prepared in fulfillment of the Humboldt State University course in Geospatial Science in Research. December

Intergovernmental Panel on Climate Change (IPCC). 2007. R. K. Pacharui and A. Reisinger, editors. *Climate Change 2007: Synthesis Report. Contribution of Working Groups I, II, and III to the Fourth Assessment Report of the Intergovernmental Panel on Climate Change*. IPCC, Geneva, Switzerland.

www.ipcc.ch/publications_and_data/publications_ipcc_fourth_assessment_report_synthesis_report.htm.

Isaak, D. J., C. H. Luce, D. L. Horan, G. L. Chandler, S. P. Wollrab, and D. E. Nagel. 2018. Global warming of salmon and trout rivers in the northwestern U.S.: road to ruin or path through purgatory? *Transactions of the American Fisheries Society* 147:566-587.

Karuk Tribe and Salmon River Restoration Council. 2018. Petition to the State of California Fish and Game Commission to list Klamath Trinity spring Chinook under the CESA.

Katz, J., P. B. Moyle, R. M., Quiñones, J. Israel, S. Purdy. 2012. Impending extinction of salmon, steelhead, and trout (Salmonidae) in California. *Environmental Biology of Fishes* 96:1169- 1186.

Kinziger, A. P., M. Hellmair, and D. G. Hankin. 2008a. Genetic structure of Chinook Salmon (*Oncorhynchus tshawytscha*) in the Klamath-Trinity Basin: implications for within-basin genetic stock identification. Produced under contract agreement between the Hoopa Valley Tribal Fisheries Department and Humboldt State University Sponsored Programs Foundation. Project received financial support by U.S. Bureau of Reclamation, Klamath Basin Area Office. (Full contract title: GSI Klamath Chinook, project number 1.22-4275).

Kondolf GM. 1993. The reclamation concept in regulation of gravel mining in California. *Journal of Environmental Planning and Management* 36:395–406.

Kondolf GM. 1997. Hungry water: effects of dams and gravel mining on river channels. *Environmental Management* 21:533–551.

Krawchuk, M. A., M. A. Moritz, M.A. Parisien, J. Van Dorn, and K. Hayhoe. 2009. Global pyrogeography: The current and future distribution of wildfire. *PLOS One* 4(4): e5102.

Moran, P., D. J. Teel, M. A. Banks, T. D. Beacham, M. R. Bellinger, S. M. Blankenship, J. R. Candy, Moritz, C. 1994. Defining 'evolutionarily significant units' for conservation. *Trends in Ecology and Evolution*, 9:373-375.

Morrow, J. E. 1980. The freshwater fishes of Alaska. Alaska Northwest Publishing Co., Anchorage, AK.

Moyle, P. B. 1976. Inland fishes of California. University of California Press, Berkeley. Moyle, P. B. 2002. Inland fishes of California. Second edition. University of California Press, Berkeley.

Moyle, P. B., J. A. Israel, and S. E. Purdy. 2008. Salmon, steelhead, and trout in California: status of emblematic fauna. A report commissioned by California Trout. Center for Watershed Sciences, University of California, Davis.

Moyle, P. B., R. Lusardi, and P. Samuel. 2017. "SOS II: Fish in Hot Water." San Francisco, CA: California Trout. Accessed at: <http://caltrout.org/sos/>.

Narum, S. R., A. Di Genova, S. J. Michelletti, and A. Maass. 2018. Genomic variation underlying complex life-history traits revealed by genome sequencing in Chinook salmon. *Proc. R. Soc. B* 285: 20180935. <http://dx.doi.org/10.1098/rspb.2018.0935>

NMFS (National Marine Fisheries Service). 2009. Klamath River Basin: 2009 Report to Congress [Online]. National Oceanic and Atmospheric Administration, U.S. Dept. of Commerce. National Marine Fisheries Service. Arcata, CA 95521. Available: <http://swrnmfs.noaa.gov/klamath/index.htm> [accessed Jul 1 2010].

National Marine Fisheries Service. (NMFS). 2014. Final Recovery Plan for the southern Oregon/northern California coast Evolutionarily Significant Unit of Coho Salmon (*Oncorhynchus kisutch*). National Marine Fisheries Service. Arcata, California. Accessed at: <https://repository.library.noaa.gov/view/noaa/15985>

National Marine Fisheries Service. (NMFS). 2019. Ecosystem Status Report of the California Current for 2019: A summary of ecosystem indicators compiled by the California Current Integrated Ecosystem Assessment Team (CCIEA). Accessed at: <https://repository.library.noaa.gov/view/noaa/22658>

National Research Council of the National Academies (NRC). 2006. Surface temperature reconstructions for the last 2,000 years. National Academy Press, Washington, D.C.

Page, L. M., and B. M. Burr. 1991. A field guide to freshwater fishes: North America north of Mexico. Volume 42 of Peterson field guide series. Houghton Mifflin.

Prince, D. J., S. M. O'Rourke, T. Q. Thompson, O. A. Ali, H. S. Lyman, I. K. Saglam, T. J. Hotaling, A. P. Spidle, and M. R. Miller. 2017. The evolutionary basis of premature migration in Pacific salmon highlights the utility of genomics for informing conservation. *Science Advances* 3(8):e1603198.

Scott, W. B., and E. J. Crossman. 1973. Freshwater fishes of Canada. Fisheries Research Board of Canada Bulletin 184.

Stillwater Sciences. 2018. Salmon River Floodplain Habitat Enhancement and Mine Tailing Remediation Project. Phase 1: Technical Analysis of Opportunities and Constraints. Technical Memorandum prepared for Salmon River Restoration Council. January 2018. Accessed at: http://srrc.org/publications/programs/habitatrestoration/Salmon%20River%20Floodplain%20Enhancement%20Tech%20Memo_Final%202018.pdf

Strange, J. S. 2012. Migration strategies of adult Chinook Salmon runs in response to diverse environmental conditions in the Klamath River basin, *Transactions of the American Fisheries Society* 141(6):1622-1636.

Thompson, N. F., E. C. Anderson, A. J. Clemento, M. A. Campbell, D. E. Pearse, J. W. Hearsey, A. P. Kinziger, and J. C. Garza. 2020. A complex phenotype in salmon controlled by a simple change in migratory timing. *Science* 370: 609–613.

Thompson, T. Q., M. R. Bellinger, S. M. O'Rourke, D. J. Prince, A. E. Stevenson, A. T. Rodrigues, M. R. Sloat, C. F. Speller, D. Y. Yang, V. L. Butler, M. A. Banks, and M. R. Miller. 2019. Anthropogenic habitat alteration leads to rapid loss of adaptive variation and restoration potential in wild Salmon populations. *Proceedings of the National Academy of Science* 116(1):177-186.

Thorsteinson, L., S. VanderKooi, and W. Duffy, editors. 2011. Proceedings of the Klamath Basin Science Conference, Medford, Oregon, February 1–5, 2010: U.S. Geological Survey Open- File Report 2011-1196.

U.S. Department of Interior (USDI). 2012. Klamath Facilities Removal Final Environmental Impact Statement/Environmental Impact Report. United States Department of Interior.

U.S. Global Change Research Program (USGCRP). 2009. T. R. Karl, J. M. Melillo, and T. C. Peterson, editors. *Global Climate Change Impacts in the United States*. Cambridge University Press.

U.S. Global Change Research Program (USGCRP). 2017. Wuebbles, D. J., D. W. Fahey, K. A. Hibbard, D. J. Dokken, B. C. Stewart, and T. K. Maycock, editors. *Climate Science Special*

Waples, R. S. 1991a. Pacific salmon, *Oncorhynchus* spp., and the definition of “species” under the endangered species act. *Marine Fisheries Review* 53:11–22.

Waples R. S. 1995. Evolutionarily significant units and the conservation of biological diversity under the Endangered Species Act. Pages 8—27 in J.L. Nielsen and G.A. Powers, editors. Evolution and the aquatic ecosystem: Defining unique units in population conservation. Symposium 17. American Fisheries Society, Bethesda, Maryland.

Waples, R. S., and S. T. Lindley. 2018. Genomics and conservation units: The genetic basis of adult migration timing in Pacific salmonids. *Evolutionary Applications* 11:1518-1526.

Wydoski, R. S., and R. R. Whitney. 1979. *Inland fishes of Washington*. University of Washington Press. Seattle, Washington.